



## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Inventor(s): Nguyen, et al.

Filed: 3/23/2001

Serial No.: 09/815,852

Art Unit: Unknown

Examiner: Unknown

Docket Number: SNY-P3983.01

Title: Integration of Web-Based EPG With TV Functions

Assistant Commissioner for Patents

Washington, DC 20231

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached Form) of which they are aware, which they believe are relevant and may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR §1.56. The order of listing of the references on the attached form and appendix hereto is without regard for relative relevance to the present invention.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application submitted herewith. 37 CFR §1.97(a).
- (b) ☒ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first office action on the merits.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee set forth in 37 CFR §1.17(p) or a certification as specified in 37 CFR §1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee set forth in 37 CFR §1.17(i) and a certification as specified in 37 CFR §1.97(e), as checked below. **This document is to be considered as**

**a petition requesting consideration of the Information Disclosure Statement.**

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ Those patent(s) or publication(s) which are marked with an asterisk (\*) in the attached form are not supplied because they were previously cited by or submitted to the Office in a prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ and relied upon in this application for an earlier filing date under 35 U.S.C. 120.

A concise explanation of relevance† of the items listed on the attached form:

- ☒ is not required, but please see appendix attached hereto.
- ☐ is given for each listed item in an appendix to this communication.
- ☐ appears in the body of the application.
- ☐ is given for non-English language listed item(s) [Required] and appears in an appendix to this communication.
- ☐ is in the form of an English language copy of a Search Report (copy attached) from a foreign patent office, issued in a counterpart application which refers to the relevant portions of the references.

† A "concise explanation of the relevance" of the submitted references "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP §609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, this statement does not constitute an admission that any patent, publication or other information referred to therein is "prior art" or material to this invention.

In accordance with 37 CFR §1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR §1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.98 and MPEP §609. The Examiner is requested to fully consider each of these references and acknowledge such consideration by appropriately initialing the attached form and returning a copy to the

address below. In addition, the Examiner is requested to conduct a thorough independent search in order to bring the best references available forward in this application.

The fee required for this Information Disclosure Statement is calculated below:

Fee under 37 CFR §1.17(p)	(\$240)	\$
Fee under 37 CFR §1.17(i)	(\$130)	\$
TOTAL		\$ 00

- ☐ A check for the above required fees is enclosed.
- ☒ Please charge any and all fees required to process this information disclosure statement to my Deposit Account No. 50-1267. A duplicate copy of this authorization is enclosed.
- ☒ The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to my Deposit Account No. 50-1267. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

  
Jerry A. Miller  
Registration No. 30,779

Dated: 4/5/2001

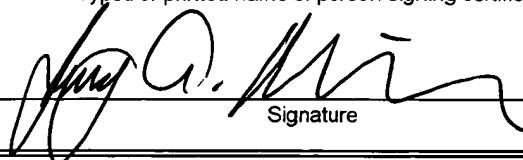
Please Send Correspondence to:  
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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on: 4/5/2001.

Jerry A. Miller

Typed or printed name of person signing certificate

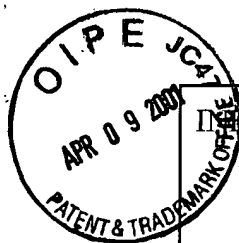
  
Signature

## **APPENDIX**

The patents listed herein include several patents assigned to "StarSite Telecast, Inc." which list an inventor "Patrick Young." Applicants' representatives are aware of several other patents with common assignee and inventor, but believes that those enclosed herewith are representative of the state of the art. The other patents known to Applicants and their Representatives are believed to share common or very similar disclosure, and are thus not believed to be more relevant than those submitted herewith. Nonetheless, Applicants wish to bring this fact to the attention of the Examiner and requests that the Examiner bear this information in mind while conducting a full and thorough search of the art to assure that the best possible art is considered in connection with this application.



INFORMATION DISCLOSURE CITATION			Docket No.: SNY-P3983.01 Sheet 1 of 3 Serial No. : 09/815,852 Filing Date: 3/23/2001 Group: Unknown Applicant: Nguyen, et al.		
U. S. PATENT DOCUMENTS					
Exam. Init.	Document Number	Date	Name	Class	Filing Date
	5,353,121	10/4/94	Young et al.		3/19/93
	5,479,266	12/26/95	Young et al.		6/7/95
	5,479,268	12/26/95	Young et al.		2/18/94
	5,508,815	4/16/96	Levine		9/13/95
	5,532,754	6/2/96	Young et al.		4/11/94
	5,568,272	10/22/96	Levine		10/20/95
	5,796,945	8/18/98	Tarabella		6/7/95
	5,808,694	9/15/98	Usui et al.		3/1/96
	5,819,284	10/6/98	Farber et al.		3/24/95
	5,903,314	5/11/99	Niijima et al.		1/24/96
FOREIGN PATENT DOCUMENTS					
Exam. Init.	Document Number	Date	Country	Class	Translation
OTHER DOCUMENTS/CITATIONS					
EXAMINER:			DATE CONSIDERED:		



INFORMATION DISCLOSURE  
CITATION

Docket No.: SNY-P3983.01 Sheet 2 of 3  
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Filing Date: 3/23/2001 Group: Unknown  
Applicant: Nguyen et al.

U. S. PATENT DOCUMENTS

Exam. Init.	Document Number	Date	Name	Class	Filing Date
	5,909,212	6/1/99	Nishina et al.		7/11/97
	5,926,230	7/20/99	Niijima et al.		1/25/96
	5,973,682	10/26/99	Saib et al.		10/17/97
	5,982,445	11/9/99	Eyer et al.		10/21/96
	5,991,735	11/23/99	Gerace		8/11/98
	5,991,832	11/23/99	Sato et al.		11/14/97
	5,977,964	11/2/99	Williams et al.		1/5/98
	6,005,562	12/21/99	Shiga et al.		7/19/96
	6,005,565	12/21/99	Legall et al.		3/25/97
	6,005,601	12/21/99	Ohkura et al.		2/6/97

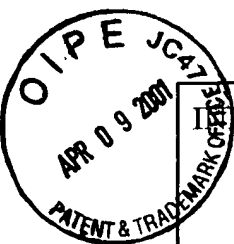
FOREIGN PATENT DOCUMENTS

Exam. Init.	Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS/CITATIONS


EXAMINER:

DATE CONSIDERED:



INFORMATION DISCLOSURE CITATION			Docket No.: SNY-P3983.01 Sheet 3 of 3 Serial No. : 09/815,852 Filing Date: 3/23/2001 Group: Unknown Applicant: Nguyen, et al.		
U. S. PATENT DOCUMENTS					
Exam. Init.	Document Number	Date	Name	Class	Filing Date
	6,020,930	2/1/2000	Legrand		8/28/97
	6,029,045	2/22/2000	Picco et al.		12/9/97
	6,029,195	2/22/2000	Herz		12/5/97
	6,034,677	3/7/2000	Noguchi et al.		10/17/97
	6,037,998	3/14/2000	Usui et al.		7/1/98
	6,047,327	4/4/2000	Tso et al.		2/16/96
	6,049,831	4/11/2000	Gardell et al.		2/13/97
	6,075,570	6/13/2000	Usui et al.		3/22/99
FOREIGN PATENT DOCUMENTS					
Exam. Init.	Document Number	Date	Country	Class	Translation
OTHER DOCUMENTS/CITATIONS					
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